

Agenda – Assembly Commission Audit and Risk Assurance Committee

Meeting Venue:

Conference Room 4B – Tŷ Hywel

Meeting date: 21 October 2019

Meeting time: 10.00

For further information contact:

Clerk: Kathryn Hughes

Committee Clerk

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1 Introductions, apologies and declaration of interests

2 Minutes of 15 July, actions and matters arising

(Pages 1 – 8)

Attached Documents:

ACARAC (05–19) Paper 1 – Draft Minutes 15 July

ACARAC (05–19) Paper 2 – Action Summary

3 Reflections from outgoing Committee member

4 Governance and Assurance Update Report

(Pages 9 – 16)

Attached Documents:

ACARAC (05–19) Paper 3 – Governance and Assurance Update Report –
October 2019

5 Consider latest Internal Audit reports

(Pages 17 – 32)



Attached Documents:

ACARAC (05-19) Paper 4 – Internal Audit report – Sickness Absence October 2019

6 Review HMT/other guidance for Audit and Risk Assurance Committees (Chair and Head of Internal Audit)

7 WAO update report

(Pages 33 – 34)

Attached Documents:

ACARAC (05-19) Paper 5 – WAO ACARAC Update (Oct 2019)

8 Cyber-Security Update

(Pages 35 – 41)

Attached Documents:

ACARAC (05-19) Paper 6 – Cyber Security Briefing Note 2019

9 Budget update

(Pages 42 – 49)

Attached Documents:

ACARAC (05-19) Paper 7 – Update on 2019-20 Financial Position and 2020-21 Budget

10 Feedback on recent Finance Committee and Public Accounts Committee

11 Corporate Risks Report

(Pages 50 – 69)

Attached Documents:

ACARAC (05-19) Paper 8 – Corporate Risks

ACARAC (05-19) Paper 8 – Annex A – Summary Corporate Risk Register

ACARAC (05-19) Paper 8 – Annex B – Corporate Risks plotted

12 Critical examination of one identified or emerging risk

(Pages 70 – 77)

Attached Documents:

ACARAC (05-19) Paper 9 – Brexit Corporate Risk Oct 2019

13 Information Breaches

14 Feedback from REWAC

15 Committee's effectiveness survey

16 Presentation of ACARAC Annual Report to the Assembly Commission

17 Departure Summary

(Pages 78 – 81)

Attached Documents:

ACARAC (05-19) Paper 10 – Departure Summary

18 Forward Work Programme

(Pages 82 – 87)

Attached Documents:

ACARAC (05-19) Paper 11 – Forward Work Programme 2019-20 (as at Oct 2019)

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